

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Denis JACOBY

Confirmation No.

Application No:

Group Art Unit:

Filing Date: October 17, 2003

Examiner:

For: CATALYTIC SYSTEM FOR ALDOL  
REACTIONS

Atty. Docket No.: 81455-5510

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Pursuant to applicants' duty of disclosure under 37 C.F.R. 1.56, enclosed is a PTO form 1449 which lists ( 4 ) cited references for the Examiner's review and consideration. It is respectfully requested that these references be made of record in this application by the Examiner's completion and return of the PTO Form 1449.

No fee or certification is believed to be due for this submission since the references are being submitted concurrent with the filing of this application. Should any fees be required, however, please charge such fees to **Winston & Strawn** Deposit Account No. 50-1814.

Respectfully submitted,

Date: \_\_\_\_\_

10/17/03



Allan A. Fanucci (Reg. No. 30,256)

**WINSTON & STRAWN LLP**  
**CUSTOMER NO. 28765**  
(212) 294-3311

Enclosures

<b>LIST OF REFERENCES CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>					ATTY. DOCKET NO.:		APPLICATION NO.:	
					81455-5510			
					APPLICANT:			
					Denis JACOBY			
					FILING DATE:		GROUP:	
<b>U.S. PATENT DOCUMENTS</b>								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	AA							
	AB							
	AC							
	AD							
	AE							
<b>FOREIGN PATENT DOCUMENTS</b>								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AF	0 676 393 A1	3/1995	Europe			X	
	AG							
<b>OTHER REFERENCES</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>								
	AH	Ayyar et al., XP001012628, "synthesis of alpha-Damascone and beta-Damascone", J. Chem. Soc. Perkin Trans. 1, Vol. 17, pp. 1727-1736 (1975)						
	AI	Vedejs and Pribish, XP002211596 "A Synthesis of the C6 C11-Dideoxyanthracyclinone Skeleton and Hassall Cyclization and Oxidative Desilylation", J. Org. Chem, Vol 53, No. 8 (1988)						
	AJ	Ishimaru et al., XP002211597, "Novel Cationic titanium (IV) Lewis Acids and their use in Asymmetric Aldol Reactions" Tetrahedron, Vol. 54, pp. 727-734 (1998)						
	AK							
	AL							
	AM							
<b>EXAMINER</b>					<b>DATE CONSIDERED</b>			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								